



2831

PATENT

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Adam J. Forman
Adam J. Forman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard D. Harris, *et al.*
Serial No.: 09/842,975
Filed: April 26, 2001
For: Method for Fabricating an Isolated Microelectromechanical System
(MEMS) Device Incorporating a Wafer Level Cap
Grp. Art Unit: 2831
Examiner: Eric W. Thomas
Docket No.: 110003.97397

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INFORMATION DISCLOSURE STATEMENT

- ☐ (1) Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to mailing of 1st Office Action)
- ☐ (2) Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☒ (3) Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)

Commissioner for Patents
P.O. Box 1450
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Dear Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

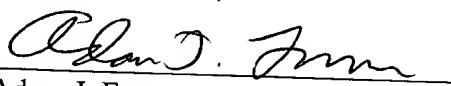
- ☐ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)
- ☒ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and
- ☐ (8) The required certification made in item 11 below; or

- ☒ (9) The \$180.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ (10) 37 CFR 1.97(d) (before issue fee payment); and
- [a] (This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$_____.00 required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and Confirmation Number _____, Date of Allowance _____"), and
- [b] The required Certification is stated in item 11 below.
- ☐ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ (14) Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 17-0055.

Respectfully submitted,

Richard D. Harris, *et al.*

By:



Adam J. Forman

Reg. No. 46,707

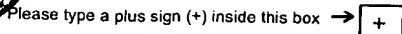
Attorney for Applicant

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(414) 277-5405



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Complete if Known

Application Number	09/842,975
Filing Date	April 26, 2001
First Named Inventor	Richard D. Harris
Group Art Unit	2831
Examiner Name	Eric W. Thomas
Attorney Docket Number	110003 97397

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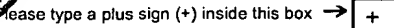
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code2 (if known)			
		3,886,447		Tanaka	05-27-1975	
		4,560,953		Bozio	12-24-1985	
		5,012,207		Edwards	4-30-1991	
		5,025,346		Tang, et al.	06-18-1991	
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		5,243,861		Kloeck, et al.	09-14-1993	
		5,343,157		Deschamps	08-30-1994	
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		5,815,051		Hamasaki, et al.	09-29-1998	

[illegible]

Date	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

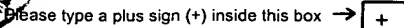
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Sheet	3	of	4
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Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,391,742		Kawai	05-21-2002	
		5,491,604		Nguyen, et al.	02-13-1996	
		5,834,864		Hesterman, et al.	11-10-1998	
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		2002/0017132		McNie, et al.	02-14-2002	

FOREIGN PATENT DOCUMENTS

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Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.

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